

Proxy Votes - Caldwell Balanced Fund

997CLD1

CLD1SSTA

June 30, 2009 - June 30, 2010

CLD1

Meeting Date	Name of Issuer	Type of Meeting	CUSIP	Matter to be Voted On	CIM Vote
10-Mar-10	Viterra Inc.	Annual + Special Meeting	92849T108	Election of Directors	Abstained
10-Mar-10	Viterra Inc.	Annual + Special Meeting	92849T108	Ratify Appointment of Independent Auditors	Abstained
10-Mar-10	Viterra Inc.	Annual + Special Meeting	92849T108	Miscellaneous Shareholder Proposal	Abstained
10-Mar-10	PNI Digital Media Inc.	Annual	69351F106	Election of Directors	Abstained
10-Mar-10	PNI Digital Media Inc.	Annual	69351F106	Ratify Appointment of Independent Auditors	Abstained
10-Mar-10	PNI Digital Media Inc.	Annual	69351F106	Adopt Stock Option Plan	Abstained
10-Mar-10	PNI Digital Media Inc.	Annual	69351F106	Miscellaneous Corporate Actions	Abstained
25-Mar-10	Toronto-Dominion Bank	Annual	891160509	Election of Directors	Abstained
25-Mar-10	Toronto-Dominion Bank	Annual	891160509	Ratify Appointment of Independent Auditors	Abstained
25-Mar-10	Toronto-Dominion Bank	Annual	891160509	Miscellaneous Corporate Actions	Abstained
25-Mar-10	Toronto-Dominion Bank	Annual	891160509	Miscellaneous Shareholder Proposal	Abstained
8-Apr-10	Bank of Nova Scotia	Annual	64149107	Election of Directors	Abstained
8-Apr-10	Bank of Nova Scotia	Annual	64149107	Ratify Appointment of Independent Auditors	Abstained
8-Apr-10	Bank of Nova Scotia	Annual	64149107	Miscellaneous Corporate Actions	Abstained
8-Apr-10	Bank of Nova Scotia	Annual	64149107	Miscellaneous Shareholder Proposal	Abstained
22-Apr-10	Hong Kong Exchanges and Clearing	AGM	Y3506N139	Management Proposal	Abstained
27-Apr-10	Canadian National Railway Company	Annual	136375102	Election of Directors	Abstained
27-Apr-10	Canadian National Railway Company	Annual	136375102	Ratify Appointment of Independent Auditors	Abstained
28-Apr-10	TMX Group Inc.	Annual & Special Meeting	87261X108	Election of Directors	Abstained
28-Apr-10	TMX Group Inc.	Annual & Special Meeting	87261X108	Ratify Appointment of Independent Auditors	Abstained
28-Apr-10	TMX Group Inc.	Annual & Special Meeting	87261X108	Miscellaneous Corporate Actions	Abstained
29-Apr-10	EBAY Inc.	Annual	278642103	Election of Directors	Abstained
29-Apr-10	EBAY Inc.	Annual	278642103	Ammend Stock Compensation Plan	Abstained
29-Apr-10	EBAY Inc.	Annual	278642103	Ratify Appointment of Independent Auditors	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	Election of Directors	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	Ratify Appointment of Independent Auditors	Abstained

29-Apr-10	NYSE Euronext	Annual	629491101	S/H Proposal - Election of Directors by Majority Vote	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	S/H Proposal - Corporate Governance	Abstained
30-Apr-10	Agnico-Eagle Mines Limited	Annual & Special Meeting	8474108	Election of Directors	Abstained
30-Apr-10	Agnico-Eagle Mines Limited	Annual & Special Meeting	8474108	Ratify Appointment of Independent Auditors	Abstained
30-Apr-10	Agnico-Eagle Mines Limited	Annual & Special Meeting	8474108	Amend Stock Option Plan	Abstained
30-Apr-10	Agnico-Eagle Mines Limited	Annual & Special Meeting	8474108	Miscellaneous Corporate Actions	Abstained
4-May-10	Suncor Energy Inc.	Annual	867224107	Election of Directors	Abstained
4-May-10	Suncor Energy Inc.	Annual	867224107	Ratify Appointment of Independent Auditors	Abstained
5-May-10	Talisman Energy Inc.	Annual + Special Meeting	87425E103	Election of Directors	Abstained
5-May-10	Talisman Energy Inc.	Annual + Special Meeting	87425E103	Ratify Appointment of Independent Auditors	Abstained
5-May-10	Talisman Energy Inc.	Annual + Special Meeting	87425E103	Approve Charter Amendment	Abstained
5-May-10	Yamana Gold Inc.	Annual	98462Y100	Election of Directors	Abstained
5-May-10	Yamana Gold Inc.	Annual	98462Y100	Ratify Appointment of Independent Auditors	Abstained
6-May-10	Canada Natural Resources Limited	Annual + Special Meeting	136385101	Election of Directors	Abstained
6-May-10	Canada Natural Resources Limited	Annual + Special Meeting	136385101	Ratify Appointment of Independent Auditors	Abstained
6-May-10	Canada Natural Resources Limited	Annual + Special Meeting	136385101	Miscellaneous Corporate Actions	Abstained
6-May-10	Canada Natural Resources Limited	Annual + Special Meeting	136385101	Amend Stock Option Plan	Abstained
12-May-10	CVS Caremark Corporation	Annual	126650100	Election of Directors (Majority Voting)	Abstained
12-May-10	CVS Caremark Corporation	Annual	126650100	Ratify Appointment of Independent Auditors	Abstained
12-May-10	CVS Caremark Corporation	Annual	126650100	Approve Stock Compensation Plan	Abstained
12-May-10	CVS Caremark Corporation	Annual	126650100	Approve Charter Amendment	Abstained
12-May-10	CVS Caremark Corporation	Annual	126650100	S/H Proposal - Political / Government	Abstained
12-May-10	CVS Caremark Corporation	Annual	126650100	S/H Proposal - Environmental	Abstained
12-May-10	Agrium Inc.	Annual + Special Meeting	8916108	Election of Directors	Abstained
12-May-10	Agrium Inc.	Annual + Special Meeting	8916108	Ratify Appointment of Independent Auditors	Abstained
12-May-10	Agrium Inc.	Annual + Special Meeting	8916108	Ratify Shareholder Rights Plan	Abstained
12-May-10	Agrium Inc.	Annual + Special Meeting	8916108	Miscellaneous Corporate Actions	Abstained
13-May-10	Google Inc.	Annual	38259P508	Election of Directors	Abstained

13-May-10	Google Inc.	Annual	38259P508	Ratify Appointment of Independent Auditors	Abstained
13-May-10	Google Inc.	Annual	38259P508	Amend Stock Option Plan	Abstained
13-May-10	Google Inc.	Annual	38259P508	Miscellaneous Shareholder Proposal	Abstained
13-May-10	Google Inc.	Annual	38259P508	S/H Proposal - Human Rights Related	Abstained
14-May-10	Thomson Reuters Corporation	Annual	884903105	Election of Directors	Abstained
14-May-10	Thomson Reuters Corporation	Annual	884903105	Ratify Appointment of Independent Auditors	Abstained
14-May-10	Thomson Reuters Corporation	Annual	884903105	Miscellaneous Corporate Actions	Abstained
19-May-10	Goldcorp Inc.	Annual	380956409	Election of Directors	Abstained
19-May-10	Goldcorp Inc.	Annual	380956409	Ratify Appointment of Independent Auditors	Abstained
19-May-10	Goldcorp Inc.	Annual	380956409	Miscellaneous Shareholder Proposal	Abstained
21-May-10	Chicago Board Options Exchange	Special	Not Applicable: Private Company	Adoption of the Agreement and Plan of Merger	For
21-May-10	Chicago Board Options Exchange	Special	Not Applicable: Private Company	Allow Vice Chairman of the Board to postpone meeting to solicit proxies.	For
21-May-10	Everton Resources Inc.	Annual	300410107	Election of Directors	Abstained
21-May-10	Everton Resources Inc.	Annual	300410107	Ratify Appointment of Independent Auditors	Abstained
26-May-10	Petrobakken Energy Ltd.	Annual	71645A109	Election of Directors	Abstained
26-May-10	Petrobakken Energy Ltd.	Annual	71645A109	Ratify Appointment of Independent Auditors	Abstained
26-May-10	Petrobank Energy and Resources Ltd.	Annual + Special Meeting	71645P106	Election of Directors	Abstained
26-May-10	Petrobank Energy and Resources Ltd.	Annual + Special Meeting	71645P106	Ratify Appointment of Independent Auditors	Abstained
26-May-10	Petrobank Energy and Resources Ltd.	Annual + Special Meeting	71645P106	Amend Stock Option Plan	Abstained
26-May-10	Petrobank Energy and Resources Ltd.	Annual + Special Meeting	71645P106	Miscellaneous Corporate Actions	Abstained
26-May-10	Petrobank Energy and Resources Ltd.	Annual + Special Meeting	71645P106	Adopt Stock Option Plan	Abstained
31-May-10	Crescent Point Energy Corp.	Annual	22576C101	Fix Number of Directors	Abstained
31-May-10	Crescent Point Energy Corp.	Annual	22576C101	Election of Directors	Abstained
31-May-10	Crescent Point Energy Corp.	Annual	22576C101	Ratify Appointment of Independent Auditors	Abstained
1-Jun-10	Cognizant Technology Solutions Corp.	Annual	192446102	Election of Directors (Majority Voting)	Abstained
1-Jun-10	Cognizant Technology Solutions Corp.	Annual	192446102	Amend Employee Stock Purchase Plan	Abstained
1-Jun-10	Cognizant Technology Solutions Corp.	Annual	192446102	Ratify Appointment of Independent Auditors	Abstained
2-Jun-10	Bombardier Inc.	Annual	97751200	Election of Directors	Abstained

2-Jun-10	Bombardier Inc.	Annual	97751200	Ratify Appointment of Independent Auditors	Abstained
2-Jun-10	Bombardier Inc.	Annual	97751200	Miscellaneous Corporate Actions	Abstained
2-Jun-10	Bombardier Inc.	Annual	97751200	Miscellaneous Shareholder Proposal	Abstained
22-Jun-10	Neptune Tech & Bioresources Inc.	Annual + Special Meeting	64077P108	Receive Consolidated Financial Statements	Abstained
22-Jun-10	Neptune Tech & Bioresources Inc.	Annual + Special Meeting	64077P108	Election of Directors (Full Slate)	Abstained
22-Jun-10	Neptune Tech & Bioresources Inc.	Annual + Special Meeting	64077P108	Ratify Appointment of Independent Auditors	Abstained
22-Jun-10	Neptune Tech & Bioresources Inc.	Annual + Special Meeting	64077P108	Miscellaneous Corporate Actions	Abstained
22-Jun-10	Neptune Tech & Bioresources Inc.	Annual + Special Meeting	64077P108	Adopt Stock Option Plan	Abstained
22-Jun-10	Neptune Tech & Bioresources Inc.	Annual + Special Meeting	64077P108	Ratify Shareholder Rights Plan	Abstained
28-Jun-10	Minco Silver Corporation	Annual + Special Meeting	60254C100	Fix Number of Directors	Abstained
28-Jun-10	Minco Silver Corporation	Annual + Special Meeting	60254C100	Election of Directors	Abstained
28-Jun-10	Minco Silver Corporation	Annual + Special Meeting	60254C100	Ratify Appointment of Independent Auditors	Abstained
28-Jun-10	Minco Silver Corporation	Annual + Special Meeting	60254C100	Adopt Stock Option Plan	Abstained
28-Jun-10	Minco Silver Corporation	Annual + Special Meeting	60254C100	Miscellaneous Corporate Actions	Abstained