

**Proxy Votes - Caldwell Exchange Fund**  
**June 30, 2009 - June 30, 2010**

**CLD3SSTA**  
**997CLD3**

**290415**  
**CLD3**

Meeting Date	Name of Issuer	Type of Meeting	CUSIP	Matter to be Voted On	CIM Vote
15-Jul-09	London Stock Exchange Group PLC, London	AGM	G5689U103	Management Proposal	Abstained
30-Sep-09	ASX LTD	AGM	Q0604U105	Directors' and Auditors' Report	Abstained
30-Sep-09	ASX LTD	AGM	Q0604U105	Management Proposal	Abstained
13-Oct-09	Singapore Exchange Ltd.	AGM	Y79946102	Management Proposal	Abstained
13-Oct-09	Singapore Exchange Ltd.	AGM	Y79946102	Management Proposal	Abstained
20-Apr-10	Interactive Brokers Group Inc.	Annual	45841N107	Election of Directors (Majority Voting)	Abstained
20-Apr-10	Interactive Brokers Group Inc.	Annual	45841N107	Ratify Appointment of Independent Auditors	Abstained
22-Apr-10	Hong Kong Exchanges and Clearing	AGM	Y3506N139	Management Proposal	Abstained
22-Apr-10	JSE Ltd.	AGM	S4254A102	Management Proposal	Abstained
28-Apr-10	Bolsas y Mercados Espanoles SA	Ordinary General Meeting	E8893G102	Management Proposal	Abstained
28-Apr-10	TMX Group Inc.	Annual & Special Meeting	87261X108	Election of Directors	Abstained
28-Apr-10	TMX Group Inc.	Annual & Special Meeting	87261X108	Ratify Appointment of Independent Auditors	Abstained
28-Apr-10	TMX Group Inc.	Annual & Special Meeting	87261X108	Miscellaneous Corporate Actions	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	Election of Directors	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	Ratify Appointment of Independent Auditors	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	S/H Proposal - Election of Directors by Majority Vote	Abstained
29-Apr-10	NYSE Euronext	Annual	629491101	S/H Proposal - Corporate Governance	Abstained
1-May-10	Philippine Stock Exchange Inc.	AGM	Y6957L106	Management Proposal	Abstained
5-May-10	CME Group	Annual	12572Q105	Election of Directors	Abstained
5-May-10	CME Group	Annual	12572Q105	Ratify Appointment of Independent Auditors	Abstained
13-May-10	Minneapolis Grain Exchange	Special	Not Applicable: Private Company	Approval of the Agreement and Plan of Merger	For
21-May-10	Chicago Board Options Exchange	Special	Not Applicable: Private Company	Adoption of the Agreement and Plan of Merger	For
21-May-10	Chicago Board Options Exchange	Special	Not Applicable: Private Company	Allow Vice Chairman of the Board to postpone meeting to solicit proxies.	For
21-May-10	InterContinental Exchange, Inc.	Annual	45865V100	Election of Directors	Abstained
21-May-10	InterContinental Exchange, Inc.	Annual	45865V100	Ratify Appointment of Independent Auditors	Abstained
25-May-10	OSLO Bors VPS Holding ASA, OSLO	AGM	R6890P105	Management Proposal	Abstained
27-May-10	Deutsche Boerse	AGM	D1882G119	Presentation of Financial Statements	Abstained
27-May-10	The NASDAQ OMX Group	Annual	631103108	Election of Directors (Majority Voting)	Abstained
27-May-10	The NASDAQ OMX Group	Annual	631103108	Ratify Appointment of Independent Auditors	Abstained

27-May-10	The NASDAQ OMX Group	Annual	631103108	Amend Stock Compensation Plan	Abstained
27-May-10	The NASDAQ OMX Group	Annual	631103108	Amend Employee Stock Purchase Plan	Abstained
27-May-10	The NASDAQ OMX Group	Annual	631103108	Approve Stock Compensation Plan	Abstained
27-May-10	The NASDAQ OMX Group	Annual	631103108	Stock Conversion	Abstained
17-Jun-10	NZX	AGM	Q7018C118	Management Proposal	Abstained
22-Jun-10	Osaka Securities Exchange Co. Ltd.	AGM	J6254G104	Approve Appropriation of Retained Earnings	Abstained
22-Jun-10	Osaka Securities Exchange Co. Ltd.	AGM	J6254G104	Appoint a Director	Abstained
22-Jun-10	Osaka Securities Exchange Co. Ltd.	AGM	J6254G104	Amend the Compensation to be received by Corporate Officers	Abstained