



Caldwell Securities Ltd.

Investment Management & Brokerage

Angela T. Stirpe
Director, CFO



Profile

Angela T. Stirpe is Director, Chief Financial Officer and Chief Compliance Officer of Caldwell Securities Ltd. She is also President of Caldwell Financial Ltd. Ms. Stirpe joined the organization in 1987 with responsibility for overall administration.

Ms. Stirpe has extensive experience in regulatory financial reporting, compliance matters and client service.

As Chief Compliance Officer, Ms. Stirpe's role is to ensure that Caldwell Securities Ltd. is in compliance with current regulatory rules and by-laws. Compliance responsibilities include the development and monitoring of internal controls and procedures, financial capital reporting, supervision of client and employee trade activity and client reporting.

Ms Stirpe oversees the work of the Investment Management Committee on behalf of clients. The Investment Team is responsible to her in terms of implementing trading strategies to best achieve client objectives.