



# Caldwell Investment Management Ltd.

*Independent Investment Managers*

**Brendan T. N. Caldwell**  
B.Sc., M.A., FCSI, CFA



## Profile

Brendan Caldwell is President & CEO of Caldwell Investment Management Ltd.

Brendan is portfolio manager for the Caldwell Canada Fund, Caldwell Exchange Fund and the Caldwell Balanced Fund. He is also co-portfolio manager of Caldwell's major exchange related investments including Urbana Corporation and the Caldwell Growth Opportunities Trust.

Brendan earned his B.Sc. from Trinity College at the University of Toronto in 1991 and his M.A. in English Literature from the University of London, England, in 1992. Brendan has held the designation of Chartered Financial Analyst since 1995.

Prior to joining Caldwell in 1995, Brendan worked for a major Canadian mutual fund company and then a bank-controlled investment dealer. His securities exchange memberships have included Toronto, New York, the Chicago Board Options Exchange, the Kansas City Board of Trade and the American Stock Exchange (AMEX).

Brendan is a Fellow of the Canadian Securities Institute, and a member of the Toronto Society of Financial Analysts, as well as the CFA Institute. Often interviewed for his insights into current

economic issues, and in regards to Caldwell's role as an active investor in global securities exchanges, Brendan is a regular financial commentator, and an industry consultant on numerous media outlets.

He is a member of the Board of Trustees of Wycliffe College, Member of Corporation of Trinity College, a member of Upper Canada College's Council of 1829, on the Board of Trustees for Bishop Strachan School, on the Campaign Cabinet for Neshama Playground and is a Director of The Caldwell Foundation. Among its other activities, The Caldwell Foundation has recently partnered with Free the Children to create the Caldwell Leadership Centre, a base for building schools across north-western India. Brendan's charitable donations are primarily directed towards Christian charities and organizations helping children, central Toronto, the business community, and his alma maters.

Brendan's memberships include the Toronto Club, Royal Canadian Yacht Club, and he is a life member of the University of Guelph Arboretum.

Married to Sandra since 1993, Brendan and his wife are the parents of four children. Brendan's favourite way to relax is tree-planting and is building an arboretum in Fairvalley, Ontario.